

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

h re application of: YOUNG et al.

Application Serial No.: 10/046,433

Art Unit: 1646

Filed: January 16, 2002

Examiner: O'Hara, E.

For:

Nucleic Acids Encoding Tumor Necrosis

Attorney Docket No.: PF511P1

Factor Receptor TR13 (as amended)

Statement Concerning the Deposited cDNA

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned attorney of record hereby states:

- TECH CENTER 1800/2900 1. A plasmid containing human cDNA encoding a human tumor necrosis factor receptor TR13 polypeptide was deposited under the terms of the Budapest Treaty on April 12, 1999 at the American Type Culture Collection (ATCC), Patent Depository, 10801 University Boulevard, Manassas, Virginia 20110-2209 (current address), and given accession number PTA 507.
- 2. Assurance is hereby given that: (1) the deposit was made under terms of the Budapest Treaty; (2) except for the limitations allowed by 37 C.F.R. § 1.808(b), all restrictions on the availability to the public of the deposit will be irrevocably removed upon the granting of a patent from the captioned application; (3) the deposit has been made under conditions such that access to the material will be available during the pendency of the captioned patent application to one determined by the Commissioner; and (4) the deposit will be maintained with the care necessary to keep it viable and uncontaminated for a period of at least five years after the most recent request for the furnishing of a sample of the deposit, and in any case, for a period of at least thirty years after the date of deposit or for the enforceable life of the patent, whichever period is longer.

Respectfully submitted

Date: August 19, 2003

Attorney for Applicants

AUG 1 9 2003 8

PTO/SB/17 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Tracertine Office; U.S. DEPARTMENT OF COMMERCE

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		•	for	FY	2003	3

Effective 01/01/2003, Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

espond to a collection of information unless it displays a valid OMB control number				
Com	plete if Known			
Application Number	10/046,433-Conf. #3443			
Filing Date	January 16, 2002			
First Named Inventor	Paul E. Young			
Examiner Name	E. O'Hara			
Art Unit	1646			
Attomey Docket No.	PF511P1			

TOTAL AMO	OUNT OF PA	AYMENT	(\$)	0.00	1	Attorn	ey Doo	ket No	. PF511P1	公
METH	OD OF PAY	MENT (chec	k all that a	oply)				FEE	CALCULATION (continued)	<u> </u>
Check Credit Money Other None				3. A	DDITI	ONAL	FEES	Fee Description Surcharge – late filing fee or cover sheet.	1620	
χ Deposit Account				Large	Large Entity Small Entity				x ~4	
Deposit Account	(08-3425			Fee	Fee	Fee	Fee	Fee Description	60.
Number					Code	(\$)	Code	(\$)	ree Description	Fée/8914
Deposit Account H	luman Gen	ome Scien	ces Inc		1051	130	2051	65	Surcharge – late filing fee or oath	100
Name					1052	50	2052	25	Surcharge - late provisional filing fee or cover	
	-	orized to: (chec								<u> </u>
<u></u>	e(s) indicated be		Credit any ov		1053	130	1053	130	Non-English specification	
X Charge an application		(s) during the per	ndency of thi	S	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee	e(s) indicated be	elow, except for	the filing fo	e	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
to the above-id				•	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
		ALCULATIO	N		1251	110	2251	55	Examiner action Extension for reply within first month	\vdash
1. BASIC FI			•		1252	410	2252	205	Extension for reply within second month	
	Small Entity				1253	930	2253	465	Extension for reply within third month	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Descri	iption	Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month	
		Utility filing fee	·		1255	1,970	2255	985	Extension for reply within fifth month	
1002 330		Design filing fe	- ⊦		1401	320	2401	160	Notice of Appeal	
1003 520	2003 260	Plant filing fee	[1402	320	2402	160	Filing a brief in support of an appeal	
1004 750	2004 375	Reissue filing f	fee [1403	280	2403	140	Request for oral hearing	
1005 160	2005 80	Provisional filin	ng fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	SUB	STOTAL (1)	(\$)	0.00	1452	110	2452	55	Petition to revive – unavoidable	
					1453	1,300	2453	650	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from					1501	1,300	2501	650	Utility issue fee (or reissue)	
			elow	Fee Paid	1502	470	2502	235	Design issue fee	
Total Claims	-20** =	×			1503	630	2503	315	Plant issue fee	
Claims	-3** =	×	=		1460	130	1460	130	Petitions to the Commissioner	
Multiple Depend	lent	L	=		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
	Small Entity				1806	180	1806	180	Submission of Information Disclosure Stmt	
	Fee Fee Code (\$)	Fee D	Description	l	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
		laims in excess	s of 20		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
i		ndependent clai			1010	750	2910	375	For each additional invention to be	\vdash
1		fultiple depende	·	•	1810		2810		examined (37CFR 1.129(b))	
1204 84	2204 42 **	Reissue indep over original p		ms	1801	750	2801	375	Request for Continued Examination (RCE) Request for expedited examination	<u> </u>
1205 18	2205 9 **	Reissue claim	s in excess	of 20	1802	900	1802	900	of a design application	
		and over origin	nal patent		Other	fee (spe	cify)			
		OTAL (2)		0.00	*Redu	uced by	Basic Fi	ling Fee	Paid SUBTOTAL (3) (\$)	0.00
		if greater; For F	Reissues, se	e above	<u> </u>					
SUBMITTED B	v								Complete (if applicable)	

20BWILLED BA	Complete (if applicable)		
Name (Print/Type) Lin J. Hymel	Registration No. (Attorney/Agent) 45,414 Tele	lephone	(301) 251-6015
Signature L. J. A.	Dat	te	August 19, 2003